

**PHASE I**

**SMALL BUSINESS TECHNOLOGY TRANSFER (STTR)**

**Certification for Property and Commercialization Rights Agreement**

The Small Business Administration Policy Directive establishes policy for the conduct of the STTR program. The Directive requires that an agreement exist between the Small Business Concern and the participating Research Institution concerning the allocation of property and commercialization rights. The Federal government will not be a party to this agreement.

Please complete the certification below. A contracting officer will request a signed copy during the award negotiation.

Property and Commercialization Rights Agreement Certification

STTR Grant Application Number: \_\_\_\_\_

DOE Grant Number: \_\_\_\_\_

Project Title: \_\_\_\_\_

The signatures below certify that an agreement exists between the small business and the research institution that allocates intellectual property rights and rights, if any, to carry out follow-on research, development, or commercialization resulting from the above-mentioned project.

\_\_\_\_\_  
Name of Business Representative

\_\_\_\_\_  
Name of Research Institution Representative

\_\_\_\_\_  
Title of Business Representative

\_\_\_\_\_  
Title of Research Institution Representative

\_\_\_\_\_  
Small Business

\_\_\_\_\_  
Research Institution

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

If, for some reason, certification cannot be made, please contact the SBIR/STTR programs' office at (301)903-1414 immediately. Inability to certify the existence of a Property and Commercialization Rights Agreement may make the small business ineligible for an award.