# PROGRAM ANNOUNCEMENT TO DOE NATIONAL LABORATORIES



# U. S. Department of Energy Office of Science Nuclear Physics

Topical Collaborations in Nuclear Theory
DOE National Laboratory Announcement Number: LAB 15-1269
Announcement Type: Initial

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Letter of Intent Due Date: 03/20/2015 at 5 PM Eastern Time

Pre-Proposal Due Date: Not Applicable

Proposal Due Date: 04/30/2015 at 5 PM Eastern Time

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## **UPDATES AND REMINDERS**

#### COLLABORATION STRUCTURE

In response to recent changes in the Office of Science funding model, the 2016 Topical Collaborations will consist of a Lead Organization with sub-awards to the collaborating institutions from the Lead Organization (this structure is known as an unincorporated consortium). The Lead Principal Investigator(s) should be employed by the Lead Organization in a regular full-time faculty position (if an Educational Institution), or in a regular staff position (if a DOE National Laboratory). In response to this announcement, the Lead Organization will submit a single comprehensive proposal. The proposal should clearly identify the composition of the team and all subproject commitments made by the participating institutions. If an award results, the Lead Principal Investigator takes responsibility for the management of the Topical Collaboration.

#### DATA MANAGEMENT PLAN

The Office of Science has published a new Statement on Digital Data Management, published at <a href="http://science.energy.gov/funding-opportunities/digital-data-management/">http://science.energy.gov/funding-opportunities/digital-data-management/</a>, which governs proposals submitted under this announcement.

#### ACKNOWLEDGMENT OF FEDERAL SUPPORT

The Office of Science published guidance about how its support should be acknowledged at <a href="http://science.energy.gov/funding-opportunities/acknowledgements/">http://science.energy.gov/funding-opportunities/acknowledgements/</a>.

#### RECOMMENDATION

The Office of Science encourages you to register in all systems as soon as possible. You are also encouraged to submit letters of intent, pre-applications, and applications well before the deadline.

## REGISTRATIONS

## A. DOE Office of Science Portfolio Analysis and Management System (PAMS)

The DOE Office of Science performs many functions for DOE national laboratory proposals in the Portfolio Analysis and Management System (PAMS), which is available at <a href="https://pamspublic.science.energy.gov">https://pamspublic.science.energy.gov</a>.

There are many activities that you can perform in PAMS, and more functionality will be added throughout the near future. DOE national laboratories will submit pre-proposals, letters of intent, and proposals directly into PAMS.

You must register in PAMS to submit a pre-proposal, letter of intent, or DOE national laboratory proposal.

To access PAMS, you may use the Internet Explorer, Firefox, Google Chrome, or Safari browsers.

Notifications sent from the PAMS system will come from the PAMS email address < <u>PAMS.Autoreply@science.doe.gov</u>>. Please make sure your email server/software allows delivery of emails from the PAMS email address to yours.

Registering to PAMS is a two-step process; once you create an individual account, you must associate yourself with ("register to") your institution. Detailed steps are listed below.

#### 1. CREATE PAMS ACCOUNT:

To register, click the "Create New PAMS Account" link on the website <a href="https://pamspublic.science.energy.gov/">https://pamspublic.science.energy.gov/</a>.

- Click the "No, I have never had an account" link and then the "Create Account" button.
- You will be prompted to enter your name and email address, create a username and password, and select a security question and answer. Once you have done this, click the "Save and Continue" button.
- On the next page, enter the required information (at least one phone number and your mailing address) and any optional information you wish to provide (e.g., FAX number, website, mailstop code, additional email addresses or phone numbers, Division/Department). Click the "Create Account" button.
- Read the user agreement and click the "Accept" button to indicate that you understand your responsibilities and agree to comply with the rules of behavior for PAMS.
- PAMS will take you the "Having Trouble Logging In?" page. (Note: If you reviewed for or were listed as PI on a prior submission to the Office of Science but have not previously created an account, you may already be linked to an institution in PAMS. If this is the case, PAMS will take you to the PAMS home page.)

#### 2. REGISTER TO YOUR INSTITUTION:

- Click the link labeled "Option 2: I know my institution and I am here to register to the institution." (Note: If you previously created a PAMS account but did not register to an institution at that time, you must click the Institutions tab and click the "Register to Institution" link.)
- PAMS will take you to the "Register to Institution" page.
- Type a word or phrase from your institution name in the field labeled, "Institution Name like," choose the radio button next to the item that best describes your role in the system, and click the "Search" button. A "like" search in PAMS returns results that contain the word or phrase you enter; you need not enter the exact name of the institution, but you should enter a word or phrase contained within the institution name. (Hint: If your institution has an acronym, such as ANL for Argonne National Laboratory or UCLA for the Regents of the University of California, Los Angeles, you may search for the acronym under "Institution Name like." Many institutions with acronyms are listed in PAMS with their acronyms in parentheses after their names.)
- Find your institution in the list that is returned by the search and click the "Actions" link in the Options column next to the institution name to obtain a dropdown list. Select "Add me to this institution" from the dropdown. PAMS will take you to the "Institutions List" page.
- If you do not see your institution in the initial search results, you can search again by clicking the "Cancel" button, clicking the Option 2 link, and repeating the search.
- All DOE National Laboratories have established profiles in PAMS, so please keep searching until you find your laboratory.

For help with PAMS, click the "External User Guide" link on the PAMS website, <a href="https://pamspublic.science.energy.gov/">https://pamspublic.science.energy.gov/</a>. You may also contact the PAMS Help Desk, which can be reached Monday through Friday, 9AM – 5:30 PM Eastern Time. Telephone: (855) 818-1846 (toll free) or (301) 903-9610, Email: <a href="mailto:sc.pams-helpdesk@science.doe.gov">sc.pams-helpdesk@science.doe.gov</a>. All submission and inquiries about this DOE National Laboratory Announcement should reference **LAB 15-1269**.

## Section I – DOE NATIONAL LABORATORY OPPORTUNITY DESCRIPTION

# GENERAL INQUIRIES ABOUT THIS ANNOUNCEMENT SHOULD BE DIRECTED TO:

## **Technical/Scientific Program Contacts:**

Dr. George Fai 301-903-8954 George.Fai@science.doe.gov

## **SUMMARY**

The Office of Nuclear Physics (NP), Office of Science (SC), U.S. Department of Energy (DOE), hereby announces its interest in receiving proposals for Topical Collaborations in Nuclear Theory. Topical Collaborations are fixed-term, multi-institution collaborations established to investigate a specific topic in nuclear physics of special interest to the community, which is aligned with programmatic NP goals and has not been addressed by a previous Topical Collaboration. Topical Collaborations also provide a mechanism for maintaining a robust community, by encouraging the creation of tenured university appointments and permanent laboratory positions in nuclear theory.

## SUPPLEMENTARY INFORMATION

The Frontiers of Nuclear Science-A Long Range Plan, DOE/NSF Nuclear Science Advisory Committee (December 2007)

http://science.energy.gov/~/media/np/nsac/pdf/docs/nuclear\_science\_low\_res.pdf

Program Objective

## **ADDITIONAL REQUIREMENTS:**

The mission of the Nuclear Physics (NP) Program is to discover, explore, and understand all forms of nuclear matter. The NP program supports experimental and theoretical research and the development and operation of accelerator facilities and advanced technologies. The NP Program is also responsible for the development and production of critical isotopes for the Nation.

The Nuclear Theory subprogram supports theoretical research at universities and DOE national laboratories with the goal of improving our fundamental understanding of nuclear physics, interpreting the results of experiments carried out in part under the auspices of the experimental nuclear physics program, and identifying and exploring important new areas of research. This subprogram addresses all three of the field's scientific frontiers, as described in the NSAC 2007 Long Range Plan. A major theme of this subprogram is an understanding of the mechanisms and effects of quark confinement and de-confinement; a quantitative description of these phenomena starting from the fundamental theory of quantum chromodynamics remains one of the Nuclear Theory subprogram's great intellectual challenges. New theoretical and computational tools are being developed to describe nuclear many-body phenomena, which may also have important applications in other areas of the physical sciences. Another major research area is nuclear

astrophysics, which includes efforts to understand the origins of the elements and the consequences that neutrino masses have for nuclear astrophysics. The Nuclear Theory subprogram also provides theoretical support for the recently created Fundamental Symmetries subprogram, which seeks to contribute to a better understanding of fundamental interactions via studying the properties of neutrons and neutrinos and their implications on the Standard Model by using tools unique to the discipline of nuclear physics.

As demonstrated by the first round of Topical Collaborations established in 2010, many of the theoretical aspects of nuclear physics benefit from additional long-term sustained efforts beyond the base program that bring together the resources of several institutions in a coordinated way to address a well-defined problem or topical area. The Office of Nuclear Physics accordingly solicits proposals for finite duration, multi-institutional Topical Collaborations, for evaluation by a peer review process. The proposals must have a clear description of their relevance to the goals of the national nuclear science program, and contain a list of "deliverable" results anticipated during the project period. The Topical Collaborations are expected to advance knowledge substantially beyond what is currently available in a research area relevant to this solicitation. These collaborations will function as hubs of a network of scientists from the participating institutions, support sustained interaction and communication within the network, and provide a mechanism for placing new researchers in permanent positions in nuclear theory. These initiatives are intended to bring together, on a temporary basis, research groups of leading nuclear theorists, leverage the resources of small research groups, and provide expanded opportunities for the next generation of nuclear theorists. Illustrative areas of interest include, but are not limited to

- a. Hadron spectra from QCD
- b. Photo- and electro-production of baryon and meson resonances, including exotics
- c. Radiative corrections for semi-inclusive/exclusive electron scattering
- d. Transverse structure of hadrons in exclusive/semi-inclusive scattering
- e. Spin structure of the nucleon
- f. Properties of hot/dense strongly-interacting matter
- g. Phase structure of QCD at finite temperature and chemical potential, critical point
- h. Precise determination of QGP properties through confrontation of theory with data
- i. Phenomenology of relativistic nuclear collisions
- j. Ab initio many-body calculations
- k. Applications of effective field theory
- 1. Properties of nuclei far from stability
- m. Unified description of nuclear reactions
- n. Dynamics of fusion/fission
- o. Cataclysmic astrophysical events
- p. Role of neutrino dynamics in astrophysical phenomena
- q. Neutrino-nucleus interactions
- r. Calculations of nuclear matrix elements for double beta decay
- s. Tests of the Standard Model using nuclei
- t. Computationally enabled nuclear theory

Each application should address the scientific and technical merit of the effort, the appropriateness of the proposed method or approach, the background and expertise of the

participants, the adequacy of the proposed resources, the reasonableness and appropriateness of the proposed budget, and any other factors relevant to the proposed project.

In addition, each application should also address the following program policy factors:

- The particular outstanding scientific opportunity in nuclear physics research afforded by the proposed research and its relevance to opportunities identified in the long range plans of the community;
- The specific goals of the collaboration, and a timeline, including milestones, for reaching those goals;
- The relevance and impact of this opportunity on experimental nuclear physics;
- The opportunities for training and placing permanent researchers in nuclear theory

## **Collaboration**

Topical Collaborations that have an institution of higher education (or other Non-DOE National Laboratory) as the Lead Institution should note that proposals from such organizations should be submitted in response to the companion funding opportunity announcement (DE-FOA-0001269) in grants.gov.

## Section II – AWARD INFORMATION

## A. TYPE OF AWARD INSTRUMENT

DOE anticipates awarding laboratory work authorizations under this DOE National Laboratory Program Announcement.

## **B. ESTIMATED FUNDING**

DOE anticipates supporting this program with approximately \$6,500,000 over a period of five years, starting in Fiscal Year 2016. Topical Collaboration Awards are for a period of no more than five years at a funding level appropriate for the proposed scope, with out-year support contingent on the availability of funds and satisfactory progress. We expect that a Topical Collaboration will typically be supported at approximately \$300,000 to \$500,000 per year, although proposals with smaller funding requirements will also be considered. The number and size of awards will depend on the number of applications selected for award, and will also be subject to the appropriation of funds by the Congress.

DOE is under no obligation to pay for any costs associated with the preparation or submission of an proposal. DOE reserves the right to fund, in whole or in part, any, all, or none of the proposals submitted in response to this DOE National Laboratory Announcement.

#### C. MAXIMUM AND MINIMUM AWARD SIZE

(See B. Estimated Funding section above.)

#### D. EXPECTED NUMBER OF AWARDS

(See B. Estimated Funding Section above.)

The exact number of awards will depend on the number of meritorious proposals and the availability of appropriated funds.

## E. ANTICIPATED AWARD SIZE

(See B. Estimated Funding Section above.)

The award size will depend on the number of meritorious proposals and the availability of appropriated funds.

#### F. PERIOD OF PERFORMANCE

(See B. Estimated Funding section above.)

Continuation funding (funding for the second and subsequent budget periods) is contingent on: (1) availability of funds appropriated by Congress and future year budget authority; (2) progress

towards meeting the objectives of the approved proposal; (3) submission of required reports; and (4) compliance with the terms and conditions of the award.

## G. TYPE OF PROPOSAL

DOE will accept new DOE National Laboratory Proposals under this DOE National Laboratory Announcement. Please only submit a PAMS lab technical proposal in response to this Announcement; do not submit a DOE Field Work Proposal (FWP) at this time. The Office of Science will request FWPs later from those selected for funding consideration under this Announcement.

## H. POSSIBLE FUNDING THROUGH OTHER DOE SOURCES

The research proposed in response to this Announcement may be of benefit to other DOE Offices or Agencies. Such broader impact will be considered to be a strength of the proposed research. If applicable, it will be appropriate to indicate, beyond NP, which DOE Offices or Agencies have significant overlap with the proposed research, and to specify how the proposed research could help them meet their program goals. Possible examples include the National Nuclear Security Agency (NNSA) Defense Programs in the area of low-energy nuclear theory, or the Office of High Energy Physics (HEP) for research on LQCD, neutrino physics, and extensions of the Standard Model.

## **Section III – ELIGIBILITY INFORMATION**

## A. ELIGIBLE APPLICANTS AND TOPICS

This is a DOE National Laboratory-only Announcement. FFRDCs from other Federal agencies are not eligible to submit in response to this Program Announcement.

A foreign entity, with or without a subsidiary or affiliate incorporated (or otherwise formed) under the laws of a state or territory of the United States, may receive funding as a subawardee (team member). Foreign participants must demonstrate unique and significant ability to contribute to the U.S. program. Typically, not more than 10% of the total funding of a Topical Collaboration may be used to support foreign participants.

#### Consortia

#### UNINCORPORATED CONSORTIA

Unincorporated consortia (team arrangements), which may include domestic and foreign entities, must designate one member of the consortium to serve as the prime recipient/consortium representative (lead organization). The prime recipient/consortium representative must be incorporated (or otherwise formed) under the laws of a State or territory of the United States.

Upon request, unincorporated consortia must provide the DOE contracting officer with a collaboration agreement, commonly referred to as the articles of collaboration, which sets out the rights and responsibilities of each consortium member. This agreement binds the individual consortium members together and should discuss, among other things, the consortium's:

- Management structure;
- Method of making payments to consortium members;
- Means of ensuring and overseeing members' efforts on the project;
- Provisions for members' cost sharing contributions; and
- Provisions for ownership and rights in intellectual property developed previously or under the agreement.

#### **B. COST SHARING**

Cost sharing is not required.

#### C. ELIGIBLE INDIVIDUALS

Eligible individuals with the skills, knowledge, and resources necessary to carry out the proposed research as a Lead Principal Investigator/Principal Investigator are invited to work with their organizations to develop a proposal. Individuals from underrepresented groups as well as individuals with disabilities are always encouraged to apply.

## Section IV – PROPOSAL AND SUBMISSION INFORMATION

## A. ADDRESS TO REQUEST PROPOSAL PACKAGE

Proposal submission instructions are available in this Announcement on the DOE Office of Science Portfolio Analysis and Management System (PAMS). Screenshots showing the steps in DOE National Laboratory proposal submission are available in the PAMS External User Guide, accessible by navigating to <a href="https://pamspublic.science.energy.gov">https://pamspublic.science.energy.gov</a> and clicking on the "PAMS External User Guide" link.

Proposals submitted outside of PAMS will not be accepted.

## B. LETTER OF INTENT AND PRE-PROPOSAL

#### 1. Letter of Intent

LETTER OF INTENT DUE DATE March 20, 2015

A Letter of Intent is required and must be submitted by 3/20/2015, 5 PM Eastern Time

The LOI is to help in planning the review and the selection of potential reviewers for the application. For this purpose, the LOI must include the following:

• A cover sheet containing the name and mailing address of the sponsoring institution; the planned title of the research proposal; the name, e-mail address, and telephone number of the Principal Investigator, additional Senior Investigator(s), and Senior/Key personnel expected to be involved in the planned proporsal.

The LOI must be submitted electronically through the DOE Office of Science Portfolio Analysis and Management System (PAMS) website <a href="https://pamspublic.science.energy.gov/">https://pamspublic.science.energy.gov/</a>. It is important that the LOI be a single file with extension .pdf, .docx, or .doc. The Principal Investigator (PI) and anyone submitting on behalf of the PI must register for an account in PAMS before it will be possible to submit a letter of intent. All PIs and those submitting LOIs on behalf of PIs are encouraged to establish PAMS accounts as soon as possible to avoid submission delays.

You may use the Internet Explorer, Firefox, Google Chrome, or Safari browsers to access PAMS.

Registering to PAMS is a two-step process; once you create an individual account, you must associate yourself with ("register to") your institution. Detailed steps are listed below.

## **Create PAMS Account:**

To register, click the "Create New PAMS Account" link on the website <a href="https://pamspublic.science.energy.gov/">https://pamspublic.science.energy.gov/</a>.

• Click the "No, I have never had an account" link and then the "Create Account" button.

- You will be prompted to enter your name and email address, create a username and password, and select a security question and answer. Once you have done this, click the "Save and Continue" button.
- On the next page, enter the required information (at least one phone number and your mailing address) and any optional information you wish to provide (e.g., FAX number, website, mailstop code, additional email addresses or phone numbers, Division/Department). Click the "Create Account" button.
- Read the user agreement and click the "Accept" button to indicate that you understand your responsibilities and agree to comply with the rules of behavior for PAMS.

PAMS will take you the "Having Trouble Logging In?" page. (If you have been an Office of Science merit reviewer or if you have previously submitted an proposal, you may already be linked to an institution in PAMS. If this happens, you will be taken to the PAMS home page.

## **Register to Your Institution:**

- Click the link labeled "Option 2: I know my institution and I am here to register to the institution." (Note: If you previously created a PAMS account but did not register to an institution at that time, you must click the Institutions tab and click the "Register to Institution" link.)
- PAMS will take you to the "Register to Institution" page.
- Type a word or phrase from your institution name in the field labeled, "Institution Name like," choose the radio button next to the item that best describes your role in the system, and click the "Search" button. A "like" search in PAMS returns results that contain the word or phrase you enter; you do not need to enter the exact name of the institution, but you should enter a word or phrase contained within the institution name. (If your institution has a frequently used acronym, such as ANL for Argonne National Laboratory or UCLA for the Regents of the University of California, Los Angeles, you may find it easiest to search for the acronym under "Institution Name like." Many institutions with acronyms are listed in PAMS with their acronyms in parentheses after their names.)
- Find your institution in the list that is returned by the search and click the "Actions" link in the Options column next to the institution name to obtain a dropdown list. Select "Add me to this institution" from the dropdown. PAMS will take you to the "Institutions List" page.
- If you do not see your institution in the initial search results, you can search again by clicking the "Cancel" button, clicking the Option 2 link, and repeating the search.
- If, after searching, you think your institution is not currently in the database, click the "Cannot Find My Institution" button and enter the requested institution information into PAMS. Click the "Create Institution" button. PAMS will add the institution to the system, associate your profile with the new institution, and return you to the "Institutions List" page when you are finished.

## **Submit Your Letter of Intent:**

- Create your letter of intent outside the system and save it as a file with extension .docx, .doc, or .pdf. Make a note of the location of the file on your computer so you can browse for it later from within PAMS.
- Log into PAMS and click the Proposals tab. Click the "View / Respond to Funding Opportunity Announcements" link and find the current announcement in the list. Click the

- "Actions/Views" link in the Options column next to this announcement to obtain a dropdown menu. Select "Submit Letter of Intent" from the dropdown.
- On the Submit Letter of Intent page, select the institution from which you are submitting this LOI from the Institution dropdown. If you are associated with only one institution in the system, there will only be one institution in the dropdown.
- Note that you must select one and only one Principal Investigator (PI) per LOI; to do so, click the "Select PI" button on the far right side of the screen. Find the appropriate PI from the list of all registered users from your institution returned by PAMS. (Hint: You may have to sort, filter, or search through the list if it has multiple pages.) Click the "Actions" link in the Options column next to the appropriate PI to obtain a dropdown menu. From the dropdown, choose "Select PI."
- If the PI for whom you are submitting does not appear on the list, it means he or she has not yet registered in PAMS. For your convenience, you may have PAMS send an email invitation to the PI to register in PAMS. To do so, click the "Invite PI" link at the top left of the "Select PI" screen. You can enter an optional personal message to the PI in the "Comments" box, and it will be included in the email sent by PAMS to the PI. You must wait until the PI registers before you can submit the LOI. Save the LOI for later work by clicking the "Save" button at the bottom of the screen. It will be stored in "My Letters of Intent" for later editing.
- Enter a title for your letter of intent.
- Select the appropriate technical contact from the Program Manager dropdown.
- To upload the LOI file into PAMS, click the "Attach File" button at the far right side of the screen. Click the "Browse" (or "Choose File" depending on your browser) button to search for your file. You may enter an optional description of the file you are attaching. Click the "Upload" button to upload the file.
- At the bottom of the screen, click the "Submit to DOE" button to save and submit the LOI to DOE.
- Upon submission, the PI will receive an email from the PAMS system <<u>PAMS.Autoreply@science.doe.gov</u>> acknowledging receipt of the LOI.

You are encouraged to register for an account in PAMS at least a week in advance of the LOI submission deadline so that there will be no delays with your submission.

For help with PAMS, click the "External User Guide" link on the PAMS website, <a href="https://pamspublic.science.energy.gov/">https://pamspublic.science.energy.gov/</a>. You may also contact the PAMS Help Desk, which can be reached Monday through Friday, 9AM – 5:30 PM Eastern Time. Telephone: (855) 818-1846 (toll free) or (301) 903-9610, email: <a href="mailto:sc.pams-helpdesk@science.doe.gov">sc.pams-helpdesk@science.doe.gov</a>. All submission and inquiries about this Laboratory Announcement should reference LAB 15-1269.

## 2. Pre-proposal

A preproposal is not required.

## C. CONTENT AND PROPOSAL FORMS

PROPOSAL DUE DATE

## April 30, 2015 at 5:00 PM Eastern Time

Files that are attached to the forms must be in Adobe Portable Document Format (PDF) unless otherwise specified in this announcement. Attached PDF files must be plain files consisting of text, numbers, and images without editable fields, signatures, passwords, redactions, or other advanced features available in some PDF-compatible software. Do not attach PDF portfolios.

#### **LETTERS**

Letters of support should not be submitted and will not be considered.

## 1. Summary of Proposal Contents and Information about PAMS

Each DOE National Laboratory proposal will contain the following sections:

- Budget, entered into PAMS as structured data using the PAMS budget form
- Abstract (one page), entered into PAMS as a separate pdf
- Budget justification, entered into PAMS as a separate pdf
- Proposal, combined into a single pdf containing the following information:
  - o Proposal Cover Page
  - o Table of Contents
  - Project Narrative (main technical portion of the proposal, including background/introduction, proposed research and methods, timetable of activities, and responsibilities of key project personnel – 10 page limit)
  - o Appendix 1: Biographical Sketch(es)
  - o Appendix 2: Current and Pending Support
  - o Appendix 3: Bibliography and References Cited
  - o Appendix 4: Facilities and Other Resources
  - o Appendix 5: Equipment
  - o Appendix 6: Other Attachments (optional)

#### SUBMISSION INSTRUCTIONS

Full proposals must be submitted into the DOE Office of Science Portfolio Analysis and Management System (PAMS). For help with PAMS, click the "External User Guide" link on the PAMS website, <a href="https://pamspublic.science.energy.gov/">https://pamspublic.science.energy.gov/</a>. You may also contact the PAMS Help Desk, which can be reached Monday through Friday, 9:00 AM – 5:30 PM Eastern Time. Telephone: (855) 818-1846 (toll free number) or (301) 903-9610, Email: <a href="mailto:sc.pams-helpdesk@science.doe.gov">sc.pams-helpdesk@science.doe.gov</a>. All submissions and inquiries about this Program Announcement should reference LAB 15-1269. Full proposals submitted in response to this Program Announcement must be submitted to PAMS no later than April 30, 2015, at 5:00 PM Eastern Time.

All PIs and those submitting on behalf of PIs are encouraged to establish PAMS accounts as soon as possible to ensure timely submissions. To register, click "Create New PAMS Account"

on the website <a href="https://pamspublic.science.energy.gov/">https://pamspublic.science.energy.gov/</a> and follow the instructions for creating an account.

The following information is provided to help with proposal submission. Detailed instructions and screen shots can be found in the user guide. To find the user guide, click the "External User Guide" link on the PAMS home page. Onscreen instructions are available within PAMS.

- Log into PAMS. From the proposals tab, click the "View DOE National Laboratory Announcements" link and find the current announcement in the list. Click the "Actions/Views" link in the Options column next to this Announcement to obtain a dropdown menu. Select "Submit Proposal" from the dropdown.
- Note that you must select one and only one Principal Investigator (PI) per proposal; to do so, click the "Select PI" button on the far right side of the screen. Find the appropriate PI from the list of all registered users from your institution returned by PAMS. (Hint: You may have to sort, filter, or search through the list if it has multiple pages.) Click the "Actions" link in the Options column next to the appropriate PI to obtain a dropdown menu. From the dropdown, choose "Select PI."
- If the PI for whom you are submitting does not appear on the list, it means he or she has not yet registered in PAMS. For your convenience, you may have PAMS send an email invitation to the PI to register in PAMS. To do so, click the "Invite PI" link at the top left of the "Select PI" screen. You can enter an optional personal message to the PI in the "Comments" box, and it will be included in the email sent by PAMS to the PI. You must wait until the PI registers before you can submit the proposal. Save the proposal for later work by selecting "Save" from the dropdown at the bottom of the screen and then clicking the "Go" button. It will be stored in "My Proposals" for later editing. As a minimum, you must complete all the required fields on the PAMS cover page before you can save the proposal for the first time.
- The cover page, budget, and attachments sections of the lab proposal are required by PAMS before it can be submitted to DOE.
- Complete the sections in PAMS one at a time, starting with the cover page and following the instructions for each section.
- Click the "+View More" link at the top of each section to expand the onscreen instructions. On the budget section, click the "Budget Tab Instructions" link to obtain detailed guidance on completing the budget form.
- Save each section by selecting either "Save" (to stay in the same section) or "Save... and Continue to the Next Section" (to move to the next section) from the dropdown menu at the bottom of the screen, followed by clicking the "Go" button.
- If you save the proposal and navigate away from it, you may return later to edit the proposal by clicking the "View My Existing Proposals" or "My Proposals" links within PAMS.
- You must enter a budget for each annual budget period.
- You must also enter a budget for each proposed sub-award. The sub-award section can be completed using the same steps used for the budget section.
- In the attachments section of the lab proposal, the abstract, the budget justification, and the proposal narrative are required and must be submitted as separate files.

- You must bundle everything other than the budget, abstract, and budget justification into one single PDF file to be attached under "Proposal Attachment."
- Do not attach anything under "Other Attachments."
- To upload a file into PAMS, click the "Attach File" button at the far right side of the screen. Click the "Browse" (or "Choose File" depending on your browser) button to search for your file. You may enter an optional description of the file you are attaching. Click the "Upload" button to upload the file.
- Once you have saved all of the sections, the "Submit to DOE" option will appear in the dropdown menu at the bottom of the screen.
- To submit the proposal, select "Submit to DOE" from the dropdown menu and then click the "Go" button.
- Upon submission, the PI will receive an email from the PAMS system <<u>PAMS.Autoreply@science.doe.gov</u>> acknowledging receipt of the proposal.
- The proposal will also appear under My Proposals with a Proposal Status of "Submitted to DOE."

Please only submit a PAMS lab technical proposal in response to this Announcement; do not submit a DOE Field Work Proposal (FWP) at this time. The Office of Science will request FWPs later from those selected for funding consideration under this Announcement.

For help with PAMS, click the "External User Guide" link on the PAMS website, <a href="https://pamspublic.science.energy.gov/">https://pamspublic.science.energy.gov/</a>. You may also contact the PAMS Help Desk, which can be reached Monday through Friday, 9:00 AM – 5:30 PM Eastern Time. Telephone: (855) 818-1846 (toll free number) or (301) 903-9610, Email: <a href="mailto:sc.pams-helpdesk@science.doe.gov">sc.pams-helpdesk@science.doe.gov</a>. All submissions and inquiries about this Program Announcement should reference **LAB 15-1269**.

## 2. Detailed Contents of the Proposal

BUDGET AND BUDGET EXPLANATION

The budget must be submitted into PAMS using the PAMS budget form. Research proposed under this Announcement may only have one annual budget period.

PAMS will calculate the cumulative budget totals for you.

A written justification of each budget item is to follow the budget pages. The budget justification should be placed in a separate, single pdf document and attached on the appropriate screen in PAMS. Further instructions regarding the budget and justification are given below and in the PAMS software.

PROJECT SUMMARY/ABSTRACT (NO MORE THAN ONE PAGE)

The project summary/abstract must contain a summary of the proposed activity suitable for dissemination to the public. It should be a self-contained document that identifies the name of the applicant, the Principal Investigator (PI), the project title, the objectives of the project, a

description of the project, including methods to be employed, the potential impact of the project (i.e., benefits, outcomes). This document must not include any proprietary or sensitive business information as the Department may make it available to the public. The project summary must not exceed 1 page when printed using standard 8.5" by 11" paper with 1" margins (top, bottom, left and right) with font not smaller than 11 point. The one-page project summary/abstract should be placed in a separate, single pdf document and attached on the appropriate screen in PAMS.

The abstract may be used to prepare publicly accessible reports about DOE-supported research.

DOE COVER PAGE (PART OF PROJECT NARRATIVE)

The following proposal cover page information may be placed on a plain page. No form is required. This cover page will not count in the project narrative page limitation.

- The project title:
- Applicant/Institution:
- Street Address/City/State/Zip:
- Postal Address:
- Administrative Point of Contact name, telephone number, email:
- Lead PI name, telephone number, email:
- DOE National Laboratory Announcement Number: LAB 15-1269
- DOE/Office of Science Program Office: Office of Nuclear Physics
- DOE/Office of Science Program Office Technical Contact: Dr. George Fai
- PAMS Letter of Intent Tracking Number:
- Research area (site) identified in Section I of this Announcement

PROJECT NARRATIVE (NO MORE THAN 25 PAGES LONG)

The project narrative **must not exceed 25 pages** of technical information, including charts, graphs, maps, photographs, and other pictorial presentations, when printed using standard 8.5" by 11" paper with 1 inch margins (top, bottom, left, and right). The font must not be smaller than 11 point. Merit reviewers will only consider the number of pages specified in the first sentence of this paragraph. This page limit does not apply to the Cover Page, Budget Page(s), Budget Justification, biographical material, publications and references, and appendices, each of which may have its own page limit.

Do not include any Internet addresses (URLs) that provide supplementary or additional information that constitutes a part of the proposal. Merit reviewers are not required to access Internet sites; however, Internet publications in a list of references will be treated identically to print publications. See Part VIII.D for instructions on how to mark proprietary proposal information. To attach a Project Narrative, click "Add Attachment."

**Background/Introduction**: Explanation of the importance and relevance of the proposed work as well as a review of the relevant literature.

**Proposed Research and Methods**: Identify the hypotheses to be tested (if any) and details of the methods to be used including the integration of experiments with theoretical and computational research efforts.

**Timetable of Activities**: Timeline for all major activities including milestones and deliverables.

**Project Management Plan:** Multi-institutional proposals must include a project management plan that clearly indicates the roles and responsibilities of each organization and indicates how activities will be coordinated and communicated among team members.

**Project Objectives:** This section should provide a clear, concise statement of the specific objectives/aims of the proposed project.

The Project Narrative comprises the research plan for the project. It should contain enough background material in the Introduction, including review of the relevant literature, to demonstrate sufficient knowledge of the state of the science. The major part of the narrative should be devoted to a description and justification of the proposed project, including details of the method to be used. It should also include a timeline for the major activities of the proposed project, and should indicate which project personnel will be responsible for which activities. There should be no ambiguity about which personnel will perform particular parts of the project, and the time at which these activities will take place.

Do not include any Internet addresses (URLs) that provide supplementary or additional information that constitutes a part of the proposal. Using Internet sites in an attempt to avoid page limits will fail: The content of those sites will not be reviewed. See Part VIII.D for instructions on how to mark proprietary proposal information.

#### APPENDIX 1: BIOGRAPHICAL SKETCH

Provide a biographical sketch for the project director/principal investigator (PD/PI) and each senior/key person as an appendix to your technical narrative. As part of the sketch, provide information that can be used by reviewers to evaluate the PI's potential for leadership within the scientific community. Examples of information of interest are invited and/or public lectures, awards received, scientific program committees, conference or workshop organization, professional society activities, special international or industrial partnerships, reviewing or editorship activities, or other scientific leadership experiences. The biographical information (curriculum vitae) must not exceed 3 pages when printed on 8.5" by 11" paper with 1 inch margins (top, bottom, left, and right) with font not smaller than 11 point and must include the following:

**Education and Training**: Undergraduate, graduate and postdoctoral training; provide institution, major/area, degree and year.

**Research and Professional Experience**: Beginning with the current position list, in chronological order, professional/academic positions with a brief description.

**Publications**: Provide a list of up to 10 publications most closely related to the proposed project. For each publication, identify the names of all authors (in the same sequence in which they appear in the publication), the article title, book or journal title, volume number, page numbers, year of publication, and website address if available electronically. Patents, copyrights and software systems developed may be provided in addition to or substituted for publications. An abbreviated style such as the Physical Review Letters (PRL) convention for citations (list only the first author) may be used for publications with more than 10 authors.

**Synergistic Activities**: List no more than 5 professional and scholarly activities related to the effort proposed.

**Identification of Potential Conflicts of Interest or Bias in Selection of Reviewers**: Provide the following information in this section:

- Collaborators and Co-editors: List in alphabetical order all persons, including their current organizational affiliation, who are, or who have been, collaborators or co-authors with you on a research project, book or book article, report, abstract, or paper during the 48 months preceding the submission of this proposal. For publications or collaborations with more than 10 authors or participants, only list those individuals in the core group with whom the Principal Investigator interacted on a regular basis while the research was being done. Also, list any individuals who are currently, or have been, co-editors with you on a special issue of a journal, compendium, or conference proceedings during the 24 months preceding the submission of this proposal. If there are no collaborators or co-editors to report, state "None."
- Graduate and Postdoctoral Advisors and Advisees: List the names and current organizational affiliations of your graduate advisor(s) and principal postdoctoral sponsor(s). Also, list the names and current organizational affiliations of your graduate students and postdoctoral associates.

**Personally Identifiable Information**: Do not include sensitive personally identifiable information such as a Social Security Number, date of birth, or city of birth. Do not include information that a merit reviewer should not consider.

This appendix will not count in the project narrative page limitation.

#### APPENDIX 2: CURRENT AND PENDING SUPPORT

Provide a list of all current and pending support (both Federal and non-Federal) for the lead principal investigator and each principal investigator, including subawardees, for ongoing projects and pending proposals. For each organization providing support, show the total award amount for the entire award period (including indirect costs) and the number of person-months per year to be devoted to the project by the PI. Include the award number, title of the funded research project, and the name of the PI for the project. Briefly describe the research being performed and explicitly identify any overlaps with the proposed research.

Provide the Current and Pending Support as an appendix to your project narrative. Concurrent submission of an proposal to other organizations for simultaneous consideration will not

prejudice its review.

- Do not attach a separate file.
- This appendix will not count in the project narrative page limitation.

#### APPENDIX 3: BIBLIOGRAPHY & REFERENCES CITED

Provide a bibliography of any references cited in the Project Narrative. Each reference must include the names of all authors (in the same sequence in which they appear in the publication), the article and journal title, book title, volume number, page numbers, and year of publication. For research areas where there are routinely more than ten coauthors of archival publications, you may use an abbreviated style such as the Physical Review Letters (PRL) convention for citations (listing only the first author). For example, your paper may be listed as, "A Really Important New Result," A. Aardvark et. al. (MONGO Collaboration), PRL 1999. Include only bibliographic citations. Applicants should be especially careful to follow scholarly practices in providing citations for source materials relied upon when preparing any section of the proposal. Provide the Bibliography and References Cited information as an appendix to your project narrative.

- Do not attach a separate file.
- This appendix will not count in the project narrative page limitation.

#### APPENDIX 4: FACILITIES & OTHER RESOURCES

This information is used to assess the capability of the organizational resources, including subawardee resources, available to perform the effort proposed. Identify the facilities to be used (Laboratory, Animal, Computer, Office, Clinical and Other). If appropriate, indicate their capacities, pertinent capabilities, relative proximity, and extent of availability to the project. Describe only those resources that are directly applicable to the proposed work. Describe other resources available to the project (e.g., machine shop, electronic shop) and the extent to which they would be available to the project. For proposed investigations requiring access to experimental user facilities maintained by institutions other than the applicant, please provide a document from the facility manager confirming that the researchers will have access to the facility. Please provide the Facility and Other Resource information as an appendix to your project narrative.

- Do not attach a separate file.
- This appendix will not count in the project narrative page limitation.

## APPENDIX 5: EQUIPMENT

List major items of equipment already available for this project and, if appropriate identify location and pertinent capabilities. Provide the Equipment information as an appendix to your project narrative.

- Do not attach a separate file.
- This appendix will not count in the project narrative page limitation.

## APPENDIX 6: DATA MANAGEMENT PLAN

Provide a Data Management Plan (DMP) that addresses the following requirements:

- 1. DMPs should describe whether and how data generated in the course of the proposed research will be shared and preserved. If the plan is not to share and/or preserve certain data, then the plan must explain the basis of the decision (for example, cost/benefit considerations, other parameters of feasibility, scientific appropriateness, or limitations discussed in #4). At a minimum, DMPs must describe how data sharing and preservation will enable validation of results, or how results could be validated if data are not shared or preserved.
- 2. DMPs should provide a plan for making all research data displayed in publications resulting from the proposed research digitally accessible to the public at the time of publication. This includes data that are displayed in charts, figures, images, etc. In addition, the underlying digital research data used to generate the displayed data should be made as accessible as possible to the public in accordance with the principles stated in the Office of Science Statement on Digital Data Management (<a href="http://science.energy.gov/funding-opportunities/digital-data-management/">http://science.energy.gov/funding-opportunities/digital-data-management/</a>). This requirement could be met by including the data as supplementary information to the published article, or through other means. The published article should indicate how these data can be accessed.
- 3. DMPs should consult and reference available information about data management resources to be used in the course of the proposed research. In particular, DMPs that explicitly or implicitly commit data management resources at a facility beyond what is conventionally made available to approved users should be accompanied by written approval from that facility. In determining the resources available for data management at Office of Science User Facilities, researchers should consult the published description of data management resources and practices at that facility and reference it in the DMP. Information about other Office of Science facilities can be found in the additional guidance from the sponsoring program.
- 4. DMPs must protect confidentiality, personal privacy, Personally Identifiable Information, and U.S. national, homeland, and economic security; recognize proprietary interests, business confidential information, and intellectual property rights; avoid significant negative impact on innovation, and U.S. competitiveness; and otherwise be consistent with all applicable laws, regulations, and DOE orders and policies. There is no requirement to share proprietary data.

DMPs will be reviewed as part of the overall Office of Science research proposal merit review process. Applicants are encouraged to consult the Office of Science website for further information and suggestions for how to structure a DMP: <a href="http://science.energy.gov/funding-opportunities/digital-data-management/">http://science.energy.gov/funding-opportunities/digital-data-management/</a>

- This appendix should not exceed 2 pages including charts, graphs, maps, photographs, and other pictorial presentations, when printed using standard 8.5" by 11" paper with 1 inch margins (top, bottom, left, and right)
- Do not attach a separate file.
- This appendix will not count in the project narrative page limitation.

APPENDIX 7: OTHER ATTACHMENT

If you need to elaborate on your responses to questions 1-6 on the "Other Project Information" document, please provide the Other Attachment information as an appendix to your project narrative. Information not easily accessible to a reviewer may be included in this appendix, but do not use this appendix to circumvent the page limitations of the proposal. Reviewers are not required to consider information in this appendix.

- Do not attach a separate file.
- This appendix will not count in the project narrative page limitation.

## 3. Detailed Instructions for the Budget

Budgets are required for the entire project period. A budget form should be completed for each budget period of the award, and a cumulative budget form for the entire project period will be populated by PAMS. A detailed budget justification narrative should be included after the budget pages. The justification should cover labor, domestic travel, equipment, materials and supplies, and anything else that will be covered with project funds.

To edit a section on the budget, click the edit icon ( ) for each section on the page. Remember to save all budget periods before moving on to the next section. You can save the budget periods by selecting "Save All Budget Periods" from the dropdown on the lower right corner of the PAMS budget entry screen and then clicking the "Go" button. You can also save any data entry page in PAMS using the blue diskette icon ( ) in the floating toolbar on the bottom of the screen.

## Section A. Senior/Key Person (Required)

For each Senior/Key Person, enter the appropriate information. List personnel, salary funds, and the number of months that person will be allocated to the project. Also include a written narrative in the budget justification that fully justifies the need for requested personnel.

#### **Section B. Other Personnel**

List personnel, salary funds, and the number of months that person will be allocated to the project. Also include a written narrative in the budget justification that fully justifies the need for requested personnel.

## **Section C. Equipment Description**

For the purpose of this budget, equipment is designated as an item of property that has an acquisition cost of \$5,000 or more and an expected service life of more than one year. (Note that this designation applies for proposal budgeting only and differs from the DOE definition of capital equipment.) List each item of equipment separately and justify each in the budget justification section. Allowable items ordinarily will be limited to research equipment and apparatus not already available for the conduct of the work. General-purpose office equipment, such as a personal computer, is not eligible for support unless primarily or exclusively used in the actual conduct of scientific research.

## Section D. Travel

In the budget justification, list each trip's destination, dates, estimated costs including transportation and subsistence, number of staff traveling, the purpose of the travel, and how it

relates to the project. Indicate whether travel cost estimates are based upon quotes from travel agencies; upon past experience of similar number of trips to similar travel destinations; or something else (describe). To qualify for support, attendance at meetings or conferences must enhance the investigator's capability to perform the research, plan extensions of it, or disseminate its results.

## **Section E. Participant/Trainee Support Costs:**

If applicable, submit training support costs. Educational projects that intend to support trainees (precollege, college, graduate and post graduate) must list each trainee cost that includes stipend levels and amounts, cost of tuition for each trainee, cost of any travel (provide the same information as needed under the regular travel category), and costs for any related training expenses. Participant costs are those costs associated with conferences, workshops, symposia or institutes and breakout items should indicate the number of participants, cost for each participant, purpose of the conference, dates and places of meetings and any related administrative expenses. In the budget justification, indicate whether trainee cost estimates are based upon past experience of support of similar number of trainees on similar projects; past experience of support of similar number of participants attending similar conferences/workshops/symposia; or something else (describe).

## **Section F. Other Direct Costs:**

Enter Other Direct Costs information for each item listed.

- Materials and Supplies: Enter total funds requested for materials and supplies in the appropriate fields. In the budget justification, indicate general categories such as glassware, and chemicals, including an amount for each category (items not identified under "Equipment"). Categories less than \$1,000 are not required to be itemized. In the budget justification, indicate whether cost estimates are based upon past experience of purchase of similar or like items; quotes/catalog prices of similar or like items; or something else (describe).
- **Publication Costs:** Enter the total publication funds requested. The proposal budget may request funds for the costs of documenting, preparing, publishing or otherwise making available to others the findings and products of the work conducted under the award. In the budget justification, include supporting information. In the budget justification, indicate whether cost estimates are based upon past experience of purchase of similar or like items; vendor quotes of similar publication services; or something else (describe).
- Consultant Services: Enter total funds requested for all consultant services. In the budget justification, identify each consultant, the services he/she will perform, total number of days, travel costs, and total estimated costs. In the budget justification, indicate whether consultant cost estimate is based upon previous experience/quotes for similar or like services; or something else (describe).
- **ADP/Computer Services:** Enter total funds requested for ADP/Computer Services. The cost of computer services, including computer-based retrieval of scientific, technical and education information may be requested. In the budget justification, include the established computer service rates at the proposing organization if applicable. In the budget justification, indicate whether cost estimates are based upon quotes/past experience of purchase of similar computer services; established computer service rates at the proposing institution; or something else (describe).

- Subawards/Consortium/Contractual Costs: Enter total costs for all subawards/consortium organizations and other contractual costs proposed for the project. In the budget justification, justify the details.
- Equipment or Facility Rental/User Fees: Enter total funds requested for Equipment or Facility Rental/User Fees. In the budget justification, identify each rental/user fee and justify. In the budget justification, indicate whether cost estimates are based upon past experience with similar or like items; vendor quotes of similar items; or something else (describe).
- Alterations and Renovations: Enter total funds requested for Alterations and Renovations.
- In the budget justification, itemize by category and justify the costs of alterations and renovations, including repairs, painting, removal or installation of partitions, shielding, or air conditioning. Where applicable, provide the square footage and costs.
- Other: Add text to describe any other Direct Costs not requested above. Enter costs associated with "Other" item(s). Use the budget justification to further itemize and justify.

#### Section G. Direct Costs

This represents Total Direct Costs (Sections A thru F) and will be calculated by PAMS.

#### **Section H. Other Indirect Costs**

Enter the Indirect Cost information for each field. Only four general categories of indirect costs are allowed/requested on this form, so please consolidate if needed.

## **Section I. Total Direct and Indirect Costs**

This amount will be calculated by PAMS (Sections G + H)

## D. SUBMISSIONS FROM SUCCESSFUL APPLICANTS

If selected for award, DOE reserves the right to request additional or clarifying information.

## E. SUBMISSION DATES AND TIMES

#### 1. Letter of Intent Due Date

March 20, 2015 at 5 PM Eastern Time

You are encouraged to submit your Letter of Intent well before the deadline.

## 2. Pre-application Due Date

None

## 3. Application Due Date

April 30, 2015 at 11:59 PM Eastern Time

You are encouraged to transmit your application well before the deadline.

#### 4. Late Submissions

Applications received after the deadline will not be reviewed or considered for award.

#### F. FUNDING RESTRICTIONS

Funding for all awards and future budget periods are contingent upon the availability of funds appropriated by Congress and the availability of future-year budget authority.

## G. OTHER SUBMISSION AND REGISTRATION REQUIREMENTS

#### 1. Where to Submit

Proposals must be submitted through PAMS to be considered for award.

Please only submit a PAMS lab technical proposal in response to this Announcement; do not submit a DOE Field Work Proposal (FWP) at this time. The Office of Science will request FWPs via the Searchable FWP system later from those selected for funding consideration under this Announcement.

## 2. Registration Process

ONE-TIME REGISTRATION PROCESS

You must complete the one-time registration process (all steps) before you can submit your first proposal through PAMS. Registration instructions appear in the front matter of this Announcement.

For help with PAMS, click the "External User Guide" link on the PAMS website, <a href="https://pamspublic.science.energy.gov/">https://pamspublic.science.energy.gov/</a>. You may also contact the PAMS Help Desk, which can be reached Monday through Friday, 9AM – 5:30 PM Eastern Time. Telephone: (855) 818-1846 (toll free) or (301) 903-9610, Email: <a href="mailto:sc.pams-helpdesk@science.doe.gov">sc.pams-helpdesk@science.doe.gov</a>. All submission and inquiries about this DOE National Laboratory Program Announcement should reference **LAB 14-1139.** 

## 3. Proposal Receipt Notices

Upon submission, the PI will receive an email from the PAMS system <PAMS.Autoreply@science.doe.gov> acknowledging receipt of the proposal.

## 4. Viewing Submitted Proposals

Upon submission, the proposal will appear under My Proposals for the PI and the Submitter with a Proposal Status of "Submitted to DOE.

## **Section V - PROPOSAL REVIEW INFORMATION**

#### A. CRITERIA

#### 1. Initial Review Criteria

Prior to a comprehensive merit evaluation, DOE will perform an initial review to determine that (1) the applicant is eligible for the award; (2) the information required by the Program Announcement has been submitted; (3) all mandatory requirements are satisfied; (4) the proposed project is responsive to the objectives of the Program Announcement, and (5) the proposed project is not duplicative of programmatic work. Proposals that fail to pass the initial review will not be forwarded for merit review and will be eliminated from further consideration.

#### 2. Merit Review Criteria

Proposals will be subjected to scientific merit review (peer review) and will be evaluated against the following criteria, listed in descending order of importance.

- Scientific and/or Technical Merit of the Project;
- Appropriateness of the Proposed Method or Approach;
- Competency of Applicant's Personnel and Adequacy of Proposed Resources; and
- Reasonableness and Appropriateness of the Proposed Budget.

The evaluation process will also include program policy factors such as the relevance of the proposed research to the terms of the DOE National Laboratory Announcement and the agency's programmatic needs, the balance of activities within the program, and the utility of the proposed activities to the broader scientific community. Note that external peer reviewers are selected with regard to both their scientific expertise and the absence of conflict-of-interest issues. Both Federal and non-Federal reviewers may be used, and submission of an proposal constitutes agreement that this is acceptable to the investigator(s) and the submitting institution.

The questions below are provided to the merit reviewers to elaborate the criteria:

SCIENTIFIC AND/OR TECHNICAL MERIT OF THE PROPOSED RESEARCH

- What is the scientific innovation of proposed research?
- What is the likelihood of achieving valuable results?
- How might the results of the proposed work impact the direction, progress, and thinking in relevant scientific fields of research?
- How does the proposed work compare with other efforts in its field, both in terms of scientific and/or technical merit and originality?
- Is the Data Management Plan suitable for the proposed research and to what extent does it support the validation of research results?

APPROPRIATENESS OF THE PROPOSED METHOD OR APPROACH

- How logical and feasible are the research approaches?
- Does the proposed research employ innovative concepts or methods?
- Are the conceptual framework, methods, and analyses well justified, adequately developed, and likely to lead to scientifically valid conclusions?
- Does the applicant recognize significant potential problems and consider alternative strategies?

## COMPETENCY OF APPLICANT'S PERSONNEL AND ADEQUACY OF PROPOSED RESOURCES

- What is the past performance and potential of the Principal Investigator (PI)?
- How well qualified is the research team to carry out the proposed research?
- Are the research environment and facilities adequate for performing the research?
- Does the proposed work take advantage of unique facilities and capabilities?

#### REASONABLENESS AND APPROPRIATENESS OF THE PROPOSED BUDGET

- Are the proposed budget and staffing levels adequate to carry out the proposed research?
- Is the budget reasonable and appropriate for the scope?

## **B. REVIEW AND SELECTION PROCESS**

#### 1. Merit Review

Proposals that pass the initial review will be subjected to a formal merit review and will be evaluated based on the criteria above.

#### 2. Selection

The Selection Officials will consider the following items, listed in no order of significance:

- Scientific and technical merit of the proposed activity as determined by merit review
- Availability of funds
- Relevance of the proposed activity to Office of Science priorities
- Ensuring an appropriate balance of activities within Office of Science programs
- Previous performance
- Joint funding and/or bridging positions (if applicable)

## 3. Discussions and Award

The Government may enter into discussions with a selected applicant for any reason deemed necessary. Failure to resolve satisfactorily the issues identified by the Government will preclude award to the applicant.

## C. ANTICIPATED NOTICE OF SELECTION AND AWARD DATES

It is anticipated that the award selection will be completed by September 30, 2015. It is expected that awards will be made in Fiscal Year 2016.

## Section VI - AWARD ADMINISTRATION INFORMATION

## A. AWARD NOTICES

## 1. Notice of Selection

**Selected Applicants Notification**: DOE will notify applicants selected for award. This notice of selection is not an authorization to begin performance.

**Non-selected Notification**: Organizations whose proposals have not been selected will be advised as promptly as possible. This notice will explain why the proposal was not selected.

## 2. Notice of Award

A work authorization/contract modification issued by the contracting officer is the authorizing award document.

#### **B. REPORTING**

Annual progress reports from the award investigator will be required and will be due 90 days before the end of each budget year.

## **Section VII - QUESTIONS/AGENCY CONTACTS**

## **A. QUESTIONS**

For help with PAMS, click the "External User Guide" link on the PAMS website, <a href="https://pamspublic.science.energy.gov/">https://pamspublic.science.energy.gov/</a>. You may also contact the PAMS Help Desk, which can be reached Monday through Friday, 9AM – 5:30 PM Eastern Time. Telephone: (855) 818-1846 (toll free) or (301) 903-9610, Email: <a href="mailto:sc.pams-helpdesk@science.doe.gov">sc.pams-helpdesk@science.doe.gov</a>. All submission and inquiries about this DOE National Laboratory Program Announcement should reference **LAB 15-1269**.

Please contact the PAMS help desk for technological issues with the PAMS system.

Questions regarding the specific program areas and technical requirements may be directed to the technical contacts listed for each program within the DOE National Laboratory Program Announcement or below.

Please contact the program staff with all questions not directly related to the PAMS system.

#### **B. AGENCY CONTACTS**

PAMS	855-818-1846 (toll-free)
Customer Support	301-903-9610
	sc.pams-helpdesk@science.doe.gov
Program Manager	Dr. George Fai, Office of Nuclear Physics
Scientific Contact	301-903-8954
	George.Fai@science.doe.gov

## **Section VIII - OTHER INFORMATION**

## A. MODIFICATIONS

Notices of any modifications to this DOE National Laboratory Announcement will be posted on the Grants and Contracts website (http://science.energy.gov/grants/).

## B. GOVERNMENT RIGHT TO REJECT OR NEGOTIATE

DOE reserves the right, without qualification, to reject any or all proposals received in response to this DOE National Laboratory Announcement and to select any proposal, in whole or in part, as a basis for negotiation and/or award.

## C. COMMITMENT OF PUBLIC FUNDS

The Contracting Officer is the only individual who can make awards or commit the Government to the expenditure of public funds. A commitment by other than the Contracting Officer, either explicit or implied, is invalid.

## D. PROPRIETARY PROPOSAL INFORMATION

Patentable ideas, trade secrets, proprietary or confidential commercial or financial information, disclosure of which may harm the applicant, should be included in a proposal only when such information is necessary to convey an understanding of the proposed project. The use and disclosure of such data may be restricted, provided the applicant includes the following legend on the first page of the project narrative and specifies the pages of the proposal which are to be restricted:

"The data contained in pages \_\_\_\_\_ of this proposal have been submitted in confidence and contain trade secrets or proprietary information, and such data shall be used or disclosed only for evaluation purposes."

To protect such data, each line or paragraph on the pages containing such data must be specifically identified and marked with a legend similar to the following:

"The following contains proprietary information that (name of applicant) requests not be released to persons outside the Government, except for purposes of review and evaluation."

#### E. EVALUATION AND ADMINISTRATION BY NON-FEDERAL PERSONNEL

In conducting the merit review evaluation, the Government may seek the advice of qualified non-Federal personnel as reviewers. The Government may also use non-Federal personnel to conduct routine, nondiscretionary administrative activities. The applicant, by submitting its proposal, consents to the use of non-Federal reviewers/administrators. Non-Federal reviewers must sign conflict of interest and non-disclosure agreements prior to reviewing a proposal. Non-Federal personnel conducting administrative activities must sign a non-disclosure agreement.

## F. AVAILABILITY OF FUNDS

Funds are not presently available for this award. The Government's obligation under this award is contingent upon the availability of appropriated funds from which payment for award purposes can be made. No legal liability on the part of the Government for any payment may arise until funds are made available to the Contracting Officer for this award and until the awardee receives notice of such availability, to be confirmed in writing by the Contracting Officer.